

PATENT  
0505-4012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH                      Serial No.: 09/802,169  
Filed: March 8, 2001                      Confirmation No.: 1150  
Art Unit: 2165                      Examiner: Clement B. Graham  
Title: AUTOMATED ORDER BOOK WITH CROWD PRICE IMPROVEMENT

**CERTIFICATE OF MAILING**

I hereby certify that the following attached paper(s) and/or fee

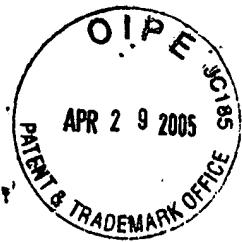
1. Response
2. Return receipt postcard

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: April 25, 2005

Brenda Pomerance  
Reg. No. 36,894

Law Office of Brenda Pomerance  
260 West 52 Street Ste. 27B  
New York, NY 10019  
voice 212 245-3940



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**RESPONSE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed February 24, 2005, the two-month date being Sunday, April 24, 2005, applicant submits the following remarks.

**REMARKS**

Claims 1-22 and 24-30 are pending.

**Response to Information Disclosure Statement**

Applicant renews his request for a copy of initialed page 2 of the Form PTO 1449 that was submitted on September 14, 2001. As previously explained, through an apparent administrative error, this has not been received.

**Response to Office Action**

In paragraph 3 of the Office Action, claims 1-30 [sic] were rejected under 35 USC 102(b) as being anticipated by U.S. Patent No. 5,297,032 (Trojan).

The pending independent claims are as follows:

1. A method of facilitating trading, comprising:  
automatically, via a computer, providing a price inquiry to a market process having an order file, the market process also having a crowd of trading processes registered therewith, and  
automatically, via the computer, requesting that the market process notify its crowd of a price improvement opportunity.
4. A method of providing a crowd price, comprising: